

# Accountable Distribution of Machine-Checked Correctness Evidence

A Transparency Model and the Lean Transparency Log

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## Abstract

Formal verification produces machine-checkable evidence, but consuming that evidence usually requires the original prover, dependency graph, source checkout, and substantial replay time. This paper studies a distinct cryptographic problem: how can a lightweight consumer obtain precise and accountable assurance about a deterministic proof replay without executing the verifier and without reducing the result to an opaque provider label?

We define *accountable replay attestation*. A specialized operator performs an expensive replay once and publishes a structured observation through a signed append-only log. Consumers verify a signed tree head and logarithmic inclusion proof, pin history, and apply their own policy to the exact reported axiom-name sets for each theorem. The construction does not prove that the operator’s observation is true. It makes the claim immutable within a signed view, comparable across consumers, and attributable when incompatible signed views are compared.

We instantiate the model as the Lean Transparency Log (LTL), using Lean 4 replay attestations and an RFC 9162 Merkle tree. We give explicit collision-extracting arguments for inclusion and consistency, lift them to scheme-level accountability games with a composition theorem, and evaluate a live deployment over four production Ed25519 codebases and the verification path of SLH-DSA (FIPS 205), the hash-based post-quantum signature standard. The public log contains nineteen leaves, including a Lean mechanization of the accumulator’s own security arguments (61 human-reviewed certificates with one project-specific uninterpreted SHA-256 boundary axiom) and, as its newest entry, eleven certificates over the SLH-DSA-SHA2-128s verifier. Since tree size 14 every signed head additionally carries a deterministic SLH-DSA co-signature — produced with the parameter set whose verification path the log itself attests. The mechanization effort also exposed, via differential testing, a nontrivial model/implementation divergence — on malformed size claims, the deployed iterative consistency verifier was not extensionally equal to the recursive model proved in Lean (since closed; this paper reports the pre-closure measurements) — recorded explicitly in the corresponding log entry. The contribution is a cryptographic distribution model for machine-checked correctness evidence, with an end-to-end deployed instantiation that carries scoped proofs about its own accountability machinery.

## 1 Introduction

Formal verification has made it possible to connect production cryptographic software to machine-checked mathematics. Systems such as HACLS\*, EverCrypt, Fiat-Crypto, and Aeneas demonstrate different routes from implementation to proof [13, 14, 15, 11]. Yet the standard assurance story quietly assumes a capable consumer: one that can retrieve the exact source, re-construct the verifier environment, resolve dependencies, and spend minutes or hours replaying a corpus.

That assumption is often false. A package resolver selecting a cryptographic backend, a deployment controller enforcing a proof requirement, or an autonomous custody agent may have milliseconds and a small trusted computing base, not a theorem prover and thirty minutes of kernel time per candidate. This creates a problem that is logically downstream of proof construction:

*How can a consumer that cannot execute the prover obtain precise, accountable evidence about a proof replay, without collapsing the result into an opaque provider verdict?*

A detached signature on the word “verified” authenticates an issuer but does not bind an ordered history, expose silent replacement, or give consumers a compact state that can be pinned and required to grow. Shipping the complete proof and checker preserves direct verification but may defeat the cost and portability objective. Committees distribute trust but do not themselves fix the semantics of the attested result. Succinct proofs of verifier execution would provide validity rather than mere accountability, but require a circuit or verified-VM representation of the prover and are not yet the deployment assumption of the artifacts studied here.

We therefore study a narrower primitive: *accountable delegation of deterministic proof replay*. The operator still observes the replay. The cryptographic layer does not make that observation true. Instead, it makes the observation exact, persistent, attributable, and locally policy-checkable. Independent replay remains the mechanism for challenging a fabricated observation.

**Central thesis.** The contribution is not a new Merkle tree and not a new theorem prover. It is a trust decomposition for distributing machine-checked correctness evidence:

**Expensive deterministic verification produces an observation. Transparency makes that observation accountable. Consumer-local policy decides whether the observation is acceptable.**

The Lean Transparency Log (LTL)<sup>1</sup> is the complete instantiation evaluated in this paper. Its subjects are four Rust Ed25519 codebases with Lean 4 [12] certificates against extracted models. Leaf 12 — its thirteenth entry — attests the Lean corpus that mechanizes the security arguments of the log’s own Merkle accumulator (the tree of §4 together with its inclusion and consistency verifiers). The paper’s central claim survives replacement of Lean, Ed25519, or RFC 9162 by other components; what is essential is the distribution and accountability model.

## Contributions.

1. **A distribution model for machine-checked evidence.** We define replay attestations, distinguish observation from verdict, and state what a lightweight consumer learns without executing Lean.
2. **A cryptographic accountability layer.** Using the RFC 9162 tree unchanged, we define signed views, inclusion receipts, local history pinning, and transferable same-size fork evidence. We give explicit collision-extracting soundness arguments specialized to the consumer algorithms, and lift them to scheme level: concrete games for position binding, history binding, and fork evidence, discharged by explicit reductions (§5.4).

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<sup>1</sup>The acronym collides with linear temporal logic [20]; we note the collision once and rely on context.

3. **Boundary-conformance policy.** Each leaf records the exact axiom names reported by Lean. Consumers compare those observations with their own policy; operator labels can veto but cannot grant acceptance. We state clearly that axiom-name equality is not semantic identity of theorem statements.
4. **A deployed cryptographic case study.** The log contains nineteen leaves: three replay generations across the four Ed25519 codebases (the newest at 44 certificates per fork; a *certificate* throughout this paper is one theorem’s kernel-checked proof together with its recorded axiom cone), two attestations of the accumulator’s own Lean corpus (leaf 12 carries an environment-derived audit inventory of 222 compiled constants, 61 human-reviewed certificate cones, and a single uninterpreted SHA-256 axiom; leaf 17 re-attests the hardened state), and — as leaf 18 — the log’s first post-quantum subject: eleven certificates over the SLH-DSA-SHA2-128s verification path (Appendix E).
5. **A negative deployment result.** Differential testing found that the deployed iterative RFC-style consistency verifier and the recursive model proved in Lean are not extensionally equal: there are malformed size/root combinations accepted only by the deployed verifier. We characterize 3,867 divergences in 73,573 pinned boundary tests — every one deployed-accepts-only — and scope the public attestation accordingly. (Subsequently closed: the divergence was traced to the deployed verifier omitting RFC 9162 §2.1.4.2 Step 7’s terminal  $sn = 0$  condition; restoring that one conjunct removes every divergence in the pinned family, confirmed by a three-way regression against an independent faithful RFC transliteration.)

**Non-claims.** LTL does not prove that the operator honestly reported a kernel run; independent replay remains the way to detect a fabricated observation. It does not prove binary correspondence, compiler correctness, extraction faithfulness, side-channel resistance, SHA-512 correctness, or execution provenance of the signing binary. The present leaf schema identifies theorem declarations by repository commit and name, not by a canonical digest of their elaborated Lean types. These are explicit boundaries, not hidden qualifications (Appendix A tabulates every consumer-facing claim with its establishing mechanism and remaining assumption).

## 2 The distribution problem

### 2.1 Three evidence modes

Let a subject repository at commit  $g$  contain theorem declarations  $\Theta_1, \dots, \Theta_q$ . A deterministic verifier execution produces an observation  $O_g$  containing success/failure and the reported *axiom cone* (synonymously, the observed axiom-name set) of each  $\Theta_i$  — the set of axioms the checked proof of  $\Theta_i$  ultimately rests on. There are three natural ways to consume this result.

**Direct replay.** The consumer reconstructs the verifier environment and checks  $O_g$  itself. This gives the strongest provenance, but has high operational cost.

**Detached attestation.** A provider signs  $O_g$ . This is cheap to consume, but provides no append-only history and no common value for clients to pin.

**Transparent attestation.** The provider signs a tree head committing  $O_g$  as one leaf among an ordered history. A consumer verifies inclusion and persists a head. Incompatible views become attributable when compared.

The third mode is useful precisely when replay is expensive but the result is stable and deterministic. It does not dominate direct replay: it replaces local computation with a narrower trust in the replay provider.

| Mechanism                | Consumer cost | Semantic checker                           | History accountability         | Main residual cost          |
|--------------------------|---------------|--------------------------------------------|--------------------------------|-----------------------------|
| Local replay             | high          | consumer                                   | local only                     | prover/toolchain deployment |
| Proof transport / PCC    | medium-high   | consumer checker                           | optional                       | proof/checker portability   |
| Detached signed result   | low           | provider                                   | statement-level only           | replaceable history         |
| Committee replay         | low           | committee                                  | none without an additional log | membership trust            |
| Succinct proof of replay | low           | circuit/VM verifier                        | optional                       | proving the prover          |
| LTL                      | low           | provider observes; consumer applies policy | signed append-only views       | observation honesty         |

Table 1: Evidence-distribution alternatives. LTL targets low-cost consumers while retaining an attributable history; it does not remove trust in the replay observation.

## 2.2 Why transparency rather than a signature database?

Suppose an operator signs every replay result independently. Authenticity of an individual record follows from signature verification, but four properties are absent:

1. no signed value commits to the ordered set of all records;
2. the signer commits to no complete ordered history, so omission or replacement is not detectable by a fresh consumer and carries no compact consistency proof;
3. two consumers cannot compare a single compact view identifier;
4. a consumer cannot demand that its previously accepted history only grow.

An append-only Merkle tree supplies these missing interfaces. At the current deployment size, logarithmic proof size is not the decisive benefit; *history binding* is.

## 2.3 Design alternatives

Table 1 places the construction among the natural alternatives, read as a design taxonomy rather than an empirical comparison. Local replay and proof transport keep semantic checking with the consumer at high operational cost; detached signatures and committees lower consumer cost but commit to no ordered history (a committee distributes trust in the observation; it does not by itself make the record’s history accountable); succinct proofs of replay would upgrade accountability to validity at the price of proving the prover. LTL occupies the low-consumer-cost point that still binds an ordered, signed, pinnable history — and deliberately does not buy validity of the observation itself.

# 3 Model and trust decomposition

## 3.1 Roles and objects

The system has three logical roles.

**Subject maintainer.** Publishes source and proof artifacts at a commit.

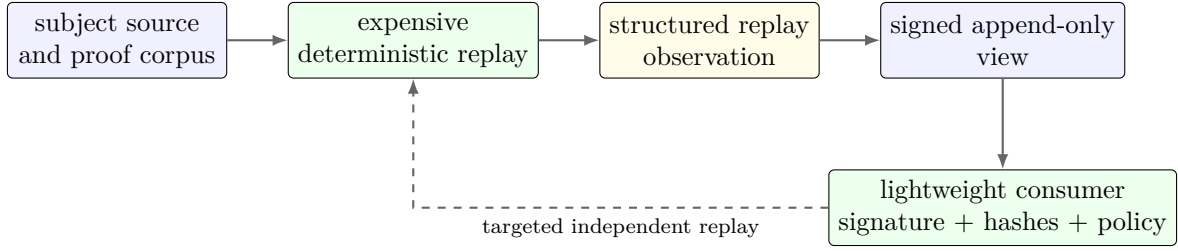


Figure 1: Trust decomposition. The log authenticates and orders the replay operator’s observation; it does not replace the theorem prover or make the observation true.

**Replay operator.** Executes the declared verifier procedure, constructs an attestation, appends it to the log, and signs tree heads.

**Consumer.** Holds the log public key and a local policy; verifies receipts and optionally persists a previous head.

A replay attestation  $a$  contains at least

$$(\text{repo}, g, \text{toolchain}, \text{environment}, [(N_i, s_i, A_i)]_{i=1}^q),$$

where  $N_i$  is a declaration name,  $s_i$  is replay status, and  $A_i$  is the observed axiom-name set. The deployed schema additionally carries diagnostics, resource controls, a machine-readable *scope block* (the deployed leaf-12 instance is quoted verbatim in Appendix B), and exclusions.

**Definition 1** (Attestation-transparency scheme). *An attestation-transparency scheme is a tuple*

$$\Pi = (\text{KeyGen}, \text{Append}, \text{ProveIncl}, \text{VerifyIncl}, \text{ProveCons}, \text{VerifyCons}, \text{Verdict})$$

*over a hash function and signature scheme. Append commits the canonical serialization of an attestation as the next leaf and returns a signed tree head. Verdict is parameterized by consumer-local policy and does not consume an operator label as positive evidence.*

**Definition 2** (Accountable replay distribution). *Fix an operator public key and a consumer that persists accepted signed heads. A replay-distribution scheme is accountable if the following hold: (i) every accepted attestation has an authentic signed view and a uniquely determined leaf value at its claimed position; (ii) a consumer accepts a later view only as the same view or a verified extension; (iii) two valid equal-size heads with unequal roots, in one log and protocol context, form transferable evidence that the key holder signed incompatible views, except under signature forgery; and (iv) positive acceptance of a theorem boundary is a function of recorded observations and consumer-local policy, not of an operator label.*

The definition is intentionally an accountability property, not a validity property. It says when conflicting claims become attributable; it does not cryptographically prove that the replay observation was honestly produced. Throughout, “accountability” means signed-view and history accountability; observation validity remains external to the mechanism. Section 5.4 states clauses (i)–(iv) as games and explicit reductions and proves the construction satisfies them.

### 3.2 What is and is not transferred

A verified receipt establishes a statement of the form:

The holder of public key  $pk$  signed a tree head committing, at position  $m$ , to a leaf in which the operator reports that the named declarations at commit  $g$  replayed with the recorded axiom-name sets.

It does not establish that the operator’s report is true. Nor does it identify the semantics of a theorem from its name alone. This separation is central:

| Layer                 | What it contributes                                            |
|-----------------------|----------------------------------------------------------------|
| Lean kernel           | validity of a checked term relative to declarations and axioms |
| Replay pipeline       | binding of source, toolchain, theorem names, and observations  |
| Attestation signature | attribution of one replay statement                            |
| Merkle log            | position binding and append-only view commitments              |
| Consumer policy       | acceptability of the recorded boundary                         |
| Independent replay    | detection of fabricated operator observations                  |

### 3.3 Adversary model

The network adversary may replay, delay, suppress, or substitute messages. The operator may be malicious: it may construct arbitrary leaves, sign arbitrary heads, label results arbitrarily, and present different signed views to different consumers. We assume collision resistance of SHA-256 for the log, EUF-CMA security of the head-signature scheme, and correct initial acquisition of the operator public key.

The model deliberately does not cryptographically exclude fabricated kernel observations; the same holds when the operator’s replay harness is defective rather than dishonest. Whether the kernel actually ran as claimed is a fact about a physical execution on the operator’s machine. The mechanism instead makes the claimed execution target precise enough for a third party to replay.

### 3.4 Consumer goals

Each goal below is formalized as a game in Section 5.4.

**G1: Authentic position binding.** If a consumer accepts leaf  $d$  at index  $m$  under signed head  $(n, r)$ : the head was issued by the key holder except under signature forgery, and no leaf distinct from  $d$  can also be opened at position  $m$  under that head except under hash collision.

**G2: Local append-only history.** A consumer that persists  $(n, r)$  accepts a later view only if it is the same view or a verified extension. Two valid heads of equal size and unequal roots, in one log context, are transferable evidence that the key holder signed incompatible views. Unequal-size forks require retained history, gossip, or a witness. The transition discipline itself is syntactic, enforced by the pin rule (§4.3) by construction; the semantic content — an opened position cannot change value across accepted views — is a theorem (§5.4).

**G3: Policy separation.** The operator’s positive label cannot make a certificate acceptable. The consumer recomputes boundary conformance from observations and local policy. Operator failure labels may be treated as a conservative veto.

### 3.5 Boundary conformance, not semantic identity

For certificate  $c$ , let  $\text{Obs}_a(c)$  be the axiom-name set recorded in leaf  $a$ , and let  $\text{Policy}(c)$  be the consumer’s allowed set. Define

$$\text{boundary\_ok}_a(c) \iff \text{Obs}_a(c) = \text{Policy}(c).$$

Exact equality detects both additional assumptions and drift in the declared assurance interface. A missing expected axiom is not automatically a logical defect: it may indicate a strengthened

theorem, a changed statement, a bypassed abstraction boundary, or stale policy. The consumer therefore rejects or requires review rather than interpreting the drift.

This predicate is intentionally narrower than “the intended theorem was proved.” The deployed system identifies a declaration by repository commit and name. A stronger future schema should include canonical digests of the elaborated theorem type and of the types of declarations in its axiom cone.

## 4 Construction

### 4.1 RFC 9162 tree

Let  $H$  be SHA-256. For byte string  $d$  and 32-byte values  $x, y$  define

$$h_{\text{leaf}}(d) = H(0x00 \parallel d), \quad h_{\text{node}}(x, y) = H(0x01 \parallel x \parallel y).$$

For leaf list  $D = [d_0, \dots, d_{n-1}]$ :

$$\text{MTH}([]) = H(\epsilon), \quad \text{MTH}([d]) = h_{\text{leaf}}(d),$$

and for  $n > 1$ ,

$$\text{MTH}(D) = h_{\text{node}}(\text{MTH}(D[0:k]), \text{MTH}(D[k:n])),$$

where  $k$  is the largest power of two strictly smaller than  $n$ . Inclusion and consistency proofs are the RFC 9162 algorithms [2].

### 4.2 Signed tree heads

A tree head contains schema-version and type tags, a log identifier, tree size, root hash, timestamp, and hash-algorithm identifier. The canonical JSON serialization of those fields is signed with Ed25519. The log identifier and version tag prevent cross-log and cross-protocol replay. We call the leaf history a head commits to a *view*, and write *signed view* for that history as represented by its signed head.

Since tree size 14, every head additionally carries a *deterministic* SLH-DSA-SHA2-128s (FIPS 205) signature over the same payload. The co-signature is additive: the Ed25519 signature remains the one every consumer must verify, and heads published before size 14 carry no post-quantum signature — the standalone verifier reports the co-signature as absent on such heads rather than rejecting them: an append-only log necessarily preserves the history of its own signature-scheme upgrades. Determinism is chosen as an audit primitive: a deterministic re-sign of the same payload is byte-comparable, so “same input, same signature” becomes a diff rather than an assurance. The co-signature closes a further loop: its parameter set is exactly the one whose verification path is attested at leaf 18 (§6.2, Appendix E).

The current implementation records signing-backend provenance alongside the signature, but that provenance is not execution attestation: an Ed25519 signature does not identify the program that produced it. The public system therefore treats the claimed signing implementation as operator-reported context, not as a property proved by the signature.

### 4.3 Receipts and pinning

A receipt contains the leaf index, sibling path, and signed head. A consumer first verifies the head signature and then reconstructs the root. For history, a local pin  $(n_{\text{pin}}, r_{\text{pin}})$  evolves as follows:

- same size: accept iff roots match; otherwise retain both signed heads as same-size fork evidence;

- larger size: accept iff a consistency proof verifies, then update;
- smaller size: reject as rollback.

We call this transition discipline the *pin rule*, and the persisted pair  $(n_{\text{pin}}, r_{\text{pin}})$  the *pin-store*. Freshness is an external availability policy. A persisted pin detects rollback relative to local history; it does not prove that a client sees the globally latest signed head.

**Notation summary.** For reference across the security analysis:

|                                                                                                        |                                                                               |
|--------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|
| $H$ ; $h_{\text{leaf}}(d)$ ; $h_{\text{node}}(x, y)$                                                   | SHA-256; leaf hash $H(0x00  d)$ ; node hash $H(0x01  x  y)$                   |
| $D, d, m, n$                                                                                           | leaf list; leaf bytes; leaf index; tree size                                  |
| $\text{MTH}(D)$ ; $k$                                                                                  | Merkle root; split point (largest power of two below $n$ )                    |
| $\text{Path}(m, D)$ ; $\text{Root}(v, m, n, P)$                                                        | inclusion path (leaf to root); path refold                                    |
| $\text{Open}(d, m, n, P, r)$                                                                           | accepting opening: $m < n$ and $\text{Root}(h_{\text{leaf}}(d), m, n, P) = r$ |
| $\text{ConsRec}$ ; $\text{Ext}$                                                                        | recursive consistency verifier; pin-rule transition                           |
| $\text{Obs}_a(c)$ ; $\text{Policy}(c)$                                                                 | axiom names recorded in leaf $a$ ; consumer's allowed set                     |
| $\chi_{\text{enc}}$ ; $\chi = (\chi_{\text{enc}}, pk)$                                                 | payload-encoded head context; full context with the key                       |
| $h = (n, r, t; \sigma)$ ; $\text{Vf}_{pk}$                                                             | signed head (size, root, timestamp); signature check                          |
| $\text{Ev}_\chi$                                                                                       | same-context, equal-size, unequal-root evidence pair                          |
| $\mathcal{B}_{\text{pb}}, \mathcal{B}_{\text{hist}}, \mathcal{B}_{\text{ha}}, \mathcal{B}_{\text{fr}}$ | the named explicit reductions of §5.4                                         |
| $Q$ ; $\text{Adv}$                                                                                     | signing-oracle query set; winning probability (keyed games)                   |

## 5 Security analysis

This section states the consumer-facing arguments in the form used by the Lean mechanization. The proofs are elementary but explicit: successful false openings yield concrete SHA-256 collisions rather than appealing to an informal “Merkle trees are secure” statement. The explicitness is load-bearing: over a fixed-width hash, “some collision exists” is trivially true by counting; each soundness statement therefore names an explicit extractor function, and the corpus pins a machine-checked guard that each extractor's output really is a collision (distinct preimages, equal digests).

### 5.1 Inclusion

Let  $\text{Root}(v, m, n, P)$  recursively fold value  $v$  at position  $m$  through proof path  $P$  using the same largest-power-of-two decomposition as  $\text{MTH}$ . Both  $\text{Root}$  and  $\text{ConsRec}$  are partial (the mechanization's  $\text{Option}$ ): malformed shapes return a distinguished rejection value, and an equation such as  $\text{Root}(\cdot) = \text{MTH}(D)$  asserts acceptance with that output.

**Lemma 1** (Domain separation). *For all byte strings  $d$  and 32-byte values  $x, y$ ,  $0x00 || d \neq 0x01 || x || y$ .*

*Proof.* The first byte differs. □

**Theorem 1** (Inclusion completeness). *For every non-empty  $D$ , every  $m < |D|$ ,*

$$\text{Root}(h_{\text{leaf}}(D[m]), m, |D|, \text{Path}(m, D)) = \text{MTH}(D).$$

*Proof.* By structural induction on  $|D|$ . The singleton case is immediate. For a split  $D = L || R$ , the honest path is the recursive path inside the side containing  $m$  followed by the other side's root. The induction hypothesis reconstructs the selected child root, and the final node hash reconstructs  $\text{MTH}(D)$ . □

**Theorem 2** (Inclusion soundness: position binding). *There is an explicit extractor  $\mathcal{E}_{\text{incl}}$  such that, given  $D$ ,  $m < |D|$ ,  $d \neq D[m]$ , and a path  $P$  satisfying*

$$\text{Root}(\text{h}_{\text{leaf}}(d), m, |D|, P) = \text{MTH}(D),$$

*$\mathcal{E}_{\text{incl}}(D, m, d, P)$  returns two distinct SHA-256 preimages with the same digest.*

*Proof.* Recompute the honest tree and replay the accepting reconstruction from the root downward. At each visited internal node, compare the adversarial and honest node preimages. If they differ while their digests agree, output the collision. Otherwise both child values agree and descent continues along the path. At the terminal leaf, equality of digests with  $d \neq D[m]$  yields a leaf-hash collision. Domain separation rules out interpreting a leaf preimage as a node preimage without already producing a collision.  $\square$

## 5.2 Consistency

The recursive verifier  $\text{ConsRec}(n_0, n_1, C, b, r_0)$  reconstructs an old and new root from proof  $C$ , a flag  $b$  indicating whether the old root is implicit, and pinned value  $r_0$ . Malformed shapes return rejection.

**Theorem 3** (Consistency soundness of the recursive model). *There is an explicit extractor  $\mathcal{E}_{\text{cons}}$  such that, whenever  $|D_0| = n_0 \leq n_1 = |D_1|$ ,  $D_0 \neq D_1[0:n_0]$ , and*

$$\text{ConsRec}(n_0, n_1, C, \top, \text{MTH}(D_0)) = (\text{MTH}(D_0), \text{MTH}(D_1)),$$

*$\mathcal{E}_{\text{cons}}(D_0, D_1, C)$  returns a SHA-256 collision. (The hypothesis supplies the honest  $\text{MTH}(D_0)$  as the pinned value; §5.3 measures the deployed flow, which has no such mechanized supplier.)*

*Proof.* The new-root component is a hash fold over the shape of the  $n_1$  tree. Compare it with the honest  $D_1$  tree. Either the first differing preimage gives a collision, or every consumed proof node equals the corresponding honest node. In the latter case, the old-root component is the canonical fold of the honest prefix  $D_1[0:n_0]$ , so acceptance implies  $\text{MTH}(D_0) = \text{MTH}(D_1[0:n_0])$ . The two equal-sized leaf lists differ; descend through their identically shaped honest trees to extract the first hash collision.  $\square$

The theorem’s hypothesis pins the honest old root  $\text{MTH}(D_0)$ . The deployed flow contains nothing mechanized that guarantees the pinned value is the honest old root; the next subsection measures the iterative verifier’s behavior when only the claimed sizes constrain its reconstruction.

**Proposition 1** (Pin-store safety). *Assume EUF-CMA security of the head signature and collision resistance of SHA-256. A consumer following the pin transition accepts only a nondecreasing sequence of sizes; if leaf lists are exhibited for two accepted heads, they are prefix-related except under collision (see the mapping paragraph of §5.4). Two accepted heads under the same key, in one log context, with equal size and unequal roots are transferable evidence that the key holder signed incompatible views.*

*Proof.* Rollback is rejected syntactically. At equal size the transition is accepted only with equal roots; if the two exhibited equal-length leaf lists differed, whole-tree root binding (each root determines its committed leaf list up to collision) would extract a SHA-256 collision, so under collision resistance the lists are equal. A larger head is accepted only after a consistency proof, so non-prefix acceptance yields a collision by the previous theorem. Equal-size unequal roots in one log context, with valid signatures, are two conflicting statements attributable to the key holder, except under signature forgery.  $\square$

**Proposition 2** (Policy separation). *For fixed local policy  $\text{Policy}$ , the boundary-conformance result for every certificate is a function only of  $\text{Obs}_a(c)$  and  $\text{Policy}(c)$ . An operator label cannot change a nonconforming observation into a conforming one.*

*Proof.* The comparison is set equality and takes no positive operator label as input. A deployment may conservatively treat an operator failure label as a veto, but a veto cannot grant acceptance.  $\square$

### 5.3 Scope of the deployed consistency claim

The Lean theorem covers the recursive predicate above. The deployed iterative verifier follows the familiar RFC bit-navigation algorithm. Differential testing discovered that the two verifiers are not extensionally equal on malformed size claims, every observed divergence being accepted only by the iterative verifier: for example, a valid proof for a  $2 \rightarrow 3$  transition can be accepted under the false old-size claim  $1 \rightarrow 3$  when paired with the size-2 root. The mechanism is elementary: the iterative algorithm seeds its reconstruction with the supplied old root and consults the size claims only as bit-navigation state, so several distinct old-size claims navigate one proof identically. In 73,573 lied-size boundary cases, 3,867 divergences were observed; all were one-sided (deployed accepts, recursive model rejects). The root cause was later identified and closed: the deployed loop omitted RFC 9162 §2.1.4.2 Step 7’s terminal  $sn = 0$  condition; with the conjunct restored the pinned family shows zero divergences (three-way regression: deployed verifier, recursive model, independent RFC transliteration).

The intended consumer flow binds  $(n_0, r_0)$  in local persistent state and binds  $(n_1, r_1)$  together in a signed head. The present corpus does not prove a refinement theorem from that operational invariant to the recursive predicate. Consequently the public attestation says exactly this: the model is proved; deployment correspondence is finite-tested and relies on an unmechanized authentic-size/root invariant.

### 5.4 Scheme-level games and a composition theorem

The theorems above bind single artifacts to a reference leaf list. This subsection lifts them to the scheme. Readers content with the component theorems can skim the statements — the four games, Definition 3, Theorem 8 — and the closing mapping paragraph; the proofs add explicit reductions but no new assumptions.

Fix once, for the entire subsection, an *encoded context*

$$\chi_{\text{enc}} = (\log \text{ identifier, schema and type tags, hash-algorithm identifier});$$

every head below is required to encode  $\chi_{\text{enc}}$  in its canonical payload, matching the deployed head format of §4.2. The verification key is deliberately *not* part of the payload: it is the external verification parameter, and we write  $\chi = (\chi_{\text{enc}}, pk)$  for the full context once a key exists — the keyed games fix  $\chi_{\text{enc}}$ , run **KeyGen**, and then set  $\chi$ .

The results come in two levels. The theorems are unconditional: explicit algorithms turn any winning transcript into a concrete SHA-256 collision, and the signature reductions lose exactly one EUF-CMA forgery. Hardness enters only at the end: SHA-256 is a fixed, unkeyed function, so following the human-ignorance treatment [21], Corollary 1 states the constructive consequence — an explicit winner yields an explicit collision finder — and labels the security reading as the engineering judgment it is. (A keyed-family restatement is routine and omitted.)

The position-binding and history games are non-interactive: they are universal statements over accepted transcripts, independent of how a transcript was obtained, so adaptive interaction with a proof-issuing service collapses into the adversary’s final output. Signatures constrain two other parties — an outsider forging an ordinary head (HEAD), and a third party fabricating equivocation evidence (FORK); those games have a secret and a signing oracle, and their

advantage is the probability, over key generation and the adversary’s coins, of winning. The adversary may query the signing oracle adaptively on context-valid payloads;  $Q$  denotes the set of exact queried payload bytes.

### The games at a glance.

| Game | Adversary            | Secrets        | Wins by exhibiting                      | Consequence        |
|------|----------------------|----------------|-----------------------------------------|--------------------|
| PB   | operator (holds key) | none           | two openings, one position, $d \neq d'$ | collision (Thm. 4) |
| HIST | operator             | none           | accepted chain, changed opened value    | collision (Thm. 5) |
| HEAD | outsider             | signing oracle | valid head never issued                 | forgery (Thm. 6)   |
| FORK | third party          | signing oracle | evidence pair not fully issued          | forgery (Thm. 7)   |

Policy separation is deliberately not a game: it is a deterministic property of the verdict algorithm (Lemma 5).

**Accepted-artifact syntax.** A head is  $h = (n, r, t; \sigma)$ , with  $t$  a timestamp; its canonical payload is  $\text{EncodeHead}_{\chi_{\text{enc}}}(n, r, t)$  as in §4.2, and  $\text{Vf}_{pk}(h) = 1$  iff its Ed25519 signature verifies. An *opening* of leaf  $d$  at index  $m$  under  $(n, r)$  is a path  $P$  with  $m < n$  and  $\text{Root}(\text{h}_{\text{leaf}}(d), m, n, P) = r$  (acceptance in the Option sense of §5.1); write  $\text{Open}(d, m, n, P, r) = 1$ . An *extension* from  $(n_0, r_0)$  to  $(n_1, r_1)$  by proof  $C$  is exactly a pin-rule transition: either  $n_0 = n_1$ ,  $r_0 = r_1$ , and  $C$  is empty, or  $n_0 < n_1$  and  $\text{ConsRec}(n_0, n_1, C, \top, r_0) = (r_0, r_1)$ ; write  $\text{Ext}(n_0, r_0, n_1, r_1, C) = 1$ .

**Lemma 2** (Monotone extensions). *If  $\text{Ext}(n_0, r_0, n_1, r_1, C) = 1$  then  $n_0 \leq n_1$ ; accepted chains of extensions have nondecreasing sizes.*

*Proof.* Immediate from the two disjuncts of  $\text{Ext}$ . □

**Lemma 3** (Payload injectivity). *For fixed  $\chi_{\text{enc}}$ ,  $\text{EncodeHead}_{\chi_{\text{enc}}}$  is injective on  $(n, r, t)$ ; in particular, distinct  $(n, r)$  pairs yield distinct payload byte strings.*

*Proof.* The canonical serialization emits a fixed set of keys in sorted order with fixed separators; the size is a decimal integer, the root a fixed-length lowercase hex string, and the timestamp a JSON string with injective escaping, all under distinct fixed keys, so the encoding parses back uniquely. This is injectivity of the specified serializer over the restricted head schema, not a claim about arbitrary JSON. □

**Game PB (position binding).**  $\mathcal{A}$  outputs  $(n, r, m, d, P, d', P')$  and wins iff  $d \neq d'$  and

$$\text{Open}(d, m, n, P, r) = \text{Open}(d', m, n, P', r) = 1.$$

**Theorem 4** (Scheme position binding). *There is an explicit algorithm  $\mathcal{B}_{\text{pb}}$  that, whenever  $\mathcal{A}$  wins PB, outputs two distinct byte strings with equal SHA-256 digests, using at most the  $2(\lceil \log_2 n \rceil + 1)$  hash evaluations of replaying the two openings, with their intermediate values retained.*

*Proof.* Both accepting folds have the shape determined by  $(m, n)$  and output the same root  $r$ . Walk from the root downward along the path of  $m$ , maintaining that the two transcripts agree on the current node’s value. At an internal node the transcripts present preimages  $0x01\|a\|b$  and  $0x01\|a'\|b'$  with equal digests; since child values have fixed 32-byte width, the argument pairs are recoverable from the preimages, so unequal pairs are a collision and equal pairs propagate agreement one level down. If no disagreement occurs, the leaf presents  $0x00\|d$  and  $0x00\|d'$  with equal digests and  $d \neq d'$  — a collision. Since the shapes coincide, every comparison is leaf-to-leaf or node-to-node; domain separation would in addition make any cross-type coincidence itself a collision of distinct strings. □

**The transport algorithm.** For the history theorem we need to move an opening backward through an accepted extension. Recall the recursive verifiers (§4.1, the mechanized form; malformed shapes reject); Figure 2 shows the assembly in a small instance. With  $k$  the largest power of two below  $n$ :

$$\text{Root}(v, m, n, P) = \begin{cases} v & n = 1, P \text{ exhausted} \\ h_{\text{node}}(\text{Root}(v, m, k, P'), s) & m < k \\ h_{\text{node}}(s, \text{Root}(v, m-k, n-k, P')) & m \geq k, \end{cases}$$

where  $s$  is the sibling  $P$  supplies for the current level, and

$$\text{ConsRec}(n_0, n, C, b, r) = \begin{cases} (v, v), & v = r \text{ if } b \text{ else the next value of } C & n_0 = n \\ (x, h_{\text{node}}(y, s)) & & n_0 \leq k \\ (h_{\text{node}}(s, x), h_{\text{node}}(s, y)) & & n_0 > k, \end{cases}$$

where in the second branch  $(x, y) = \text{ConsRec}(n_0, k, C, b, r)$  and  $s$  is the next value of  $C$ , and in the third branch  $s$  is the next value of  $C$  and  $(x, y) = \text{ConsRec}(n_0-k, n-k, C, \perp, r)$ . Both recursions' shapes are determined by their integer arguments, not by the adversary, so two computations at the same arguments traverse the same nodes and there are no mismatched stopping points.

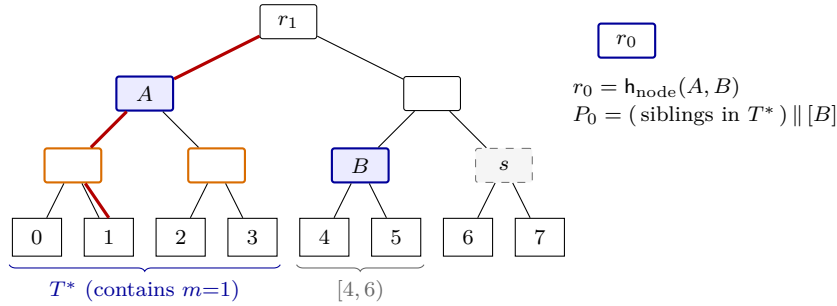


Figure 2: Prefix transport in the  $6 \rightarrow 8$  instance, opening at index  $m = 1$ . The accepted consistency transcript pins the frontier values  $A, B$  covering  $[0, 6)$  (solid blue) and consumes the proof value  $s$  covering  $[6, 8)$  (dashed). Comparing the opening's fold (red path) with the transcript fixes the opening's value at the frontier subtree  $T^*$  containing  $m$ . Below  $T^*$  the opening keeps its own siblings (orange); above it, the old-root fold  $r_0 = h_{\text{node}}(A, B)$  supplies the one remaining sibling  $B$ . The assembled  $P_0$  is the opening's inner path with the new tree's top sibling replaced by  $B$ .

**Lemma 4** (Prefix transport). *Suppose  $m < n_0 \leq n_1$  and*

$$\text{Ext}(n_0, r_0, n_1, r_1, C) = 1, \quad \text{Open}(d, m, n_1, P, r_1) = 1.$$

*There is an explicit algorithm Transport returning either two distinct byte strings with equal SHA-256 digests, or a path  $P_0$  with  $\text{Open}(d, m, n_0, P_0, r_0) = 1$ , using at most the hash evaluations of replaying the two accepted transcripts.*

*Proof.* If  $n_0 = n_1$  then  $r_0 = r_1$  and  $P_0 = P$ . Otherwise  $\text{ConsRec}(n_0, n_1, C, \top, r_0) = (r_0, r_1)$ , and we prove the following claim by strong induction on  $n$  — both sub-calls strictly decrease it — for every sub-call arising in the accepted transcript:

*Claim.* If  $\text{ConsRec}(n'_0, n, C', b, \cdot)$  accepts with output  $(x, y)$ , and  $\text{Open}(d, m', n, P', \rho) = 1$  with  $m' < n'_0$  and  $\rho = y$ , then either an explicit collision is output, or a path  $P'_0$  with  $\text{Open}(d, m', n'_0, P'_0, x) = 1$ .

*Base* ( $n'_0 = n$ ): the transcript gives  $x = y = \rho$ , and  $P'_0 = P'$ .

*Case*  $n'_0 \leq k$ ,  $k$  the split of  $n$ : the transcript's second component is  $y = h_{\text{node}}(y_L, s)$  with  $(x, y_L)$  the left sub-call's output. Since  $m' < n'_0 \leq k$ , the opening's top step is  $\rho = h_{\text{node}}(u, s_P)$  with  $u = \text{Root}(h_{\text{leaf}}(d), m', k, \cdot)$  accepted on the opening's remaining path. The two preimages of  $\rho = y$  are  $0x01\|y_L\|s$  and  $0x01\|u\|s_P$ : if the pairs differ, output the collision; otherwise  $u = y_L$ , and the inductive hypothesis applied to the left sub-call (output  $(x, y_L)$ ) and the sub-opening (root value  $u = y_L$ ) yields a collision or  $P'_0$  with  $\text{Open}(d, m', n'_0, P'_0, x) = 1$ , which is the claim since the first component passes through this branch unchanged.

*Case*  $n'_0 > k$ : the transcript consumed  $s$  and the right sub-call returned  $(x_R, y_R)$ , so  $x = h_{\text{node}}(s, x_R)$  and  $y = h_{\text{node}}(s, y_R)$ . Because  $k < n'_0 \leq n$  and  $k$  is the largest power of two below  $n$ ,  $k$  is also the largest power of two below  $n'_0$  (there is no power of two strictly between  $k$  and  $n$ ; mechanized in the corpus as `kbelow_prefix_eq`), so the  $n'_0$ -tree splits at  $k$  as well and  $x = h_{\text{node}}(s, x_R)$  is precisely its root form. If  $m' < k$ : the opening's top step is  $\rho = h_{\text{node}}(u, s_P)$  with  $u = \text{Root}(h_{\text{leaf}}(d), m', k, \cdot)$  accepted on the remaining path  $P'_{\text{in}}$ . Compare preimages of  $\rho = y$ : unequal pairs are a collision; otherwise  $u = s$  and  $s_P = y_R$ , so the remaining path opens  $d$  at  $m'$  in the left subtree with root value  $s$ , and  $P'_0 := P'_{\text{in}} \parallel [x_R]$  satisfies  $\text{Root}(h_{\text{leaf}}(d), m', n'_0, P'_0) = h_{\text{node}}(s, x_R) = x$ : an accepting opening, assembled from the opening's own inner path and the transcript's right first-component. If  $m' \geq k$ : the opening's top step is  $\rho = h_{\text{node}}(s_P, u)$  with  $u$  accepted at  $m' - k$  in the right subtree; comparing preimages of  $\rho = y$  either yields a collision or  $s_P = s$  and  $u = y_R$ , and the inductive hypothesis on the right sub-call (output  $(x_R, y_R)$ , sizes  $n'_0 - k \leq n - k$ , index  $m' - k < n'_0 - k$ ) gives a collision or  $P''_0$  opening  $d$  at  $m' - k$  under  $x_R$ ; then  $P'_0 := P''_0 \parallel [s]$  opens  $d$  at  $m'$  under  $x = h_{\text{node}}(s, x_R)$ .

The top-level instance of the claim has  $\rho = r_1 = y$  and  $x = r_0$  by acceptance, which is the lemma. Every comparison is between two explicit 32-byte-child node preimages, so each disagreement is a concrete collision; every assembled path entry is either an entry of  $P$ , an entry of  $C$ , or a sub-call output value, all present in the replayed transcripts.  $\square$

In the smallest growth case  $2 \rightarrow 3$  — the log's own transition in §5.3 —  $n_0$  is a power of two: the frontier is the whole old tree and  $P_0$  is simply the opening's within-prefix tail.

**Game HIST (local history binding).**  $\mathcal{A}$  outputs a chain of head values  $h_0, \dots, h_k$ , transition proofs  $C_1, \dots, C_k$  with  $\text{Ext}(n_{i-1}, r_{i-1}, n_i, r_i, C_i) = 1$  for every  $1 \leq i \leq k$ , indices  $0 \leq a < b \leq k$ , an index  $m < n_a$ , and openings with  $\text{Open}(d, m, n_a, P, r_a) = \text{Open}(d', m, n_b, P', r_b) = 1$  and  $d \neq d'$ .  $\mathcal{A}$  wins iff everything verifies. (The chain is the Merkle-level state sequence a consumer's pin traverses; authentication is HEAD's job, and the binding properties quantify over accepted transcripts regardless of provenance.)

**Theorem 5** (History binding). *There is an explicit algorithm  $\mathcal{B}_{\text{hist}}$  that, whenever  $\mathcal{A}$  wins HIST, outputs a SHA-256 collision, using  $O(k \log n_k)$  hash evaluations.*

*Proof.* By Lemma 2,  $m < n_a \leq n_i$  for all  $i \geq a$ . Walk  $t$  from  $b$  down to  $a+1$ , maintaining an accepting opening of  $d'$  at  $m$  under  $(n_t, r_t)$ . If  $n_{t-1} = n_t$  then  $\text{Ext}$  forces  $r_{t-1} = r_t$  and the opening carries over unchanged; if  $n_{t-1} < n_t$ , apply Lemma 4 to  $C_t$  and the current opening, obtaining a collision (done) or an accepting opening under  $(n_{t-1}, r_{t-1})$ . Arriving at  $h_a$  yields two accepting openings of  $d \neq d'$  at  $m$  under  $(n_a, r_a)$ , and Theorem 4 extracts the collision. Accepted transcripts have their RFC-determined logarithmic length — malformed lengths reject — so the walk costs at most the evaluations of replaying the  $k$  transition transcripts and the two openings.  $\square$

**Game HEAD (head authenticity).** A challenger runs KeyGen and signs, on the operator's behalf, the canonical payloads the operator issues in context  $\chi_{\text{enc}}$  (query set  $Q$ ). The adversary,

without the key, outputs a head  $h$  and wins iff  $\text{Vf}_{pk}(h) = 1$ ,  $h$  encodes  $\chi_{\text{enc}}$ , and  $h$ 's payload is not in  $Q$ .

**Theorem 6** (Head authenticity). *For every  $\mathcal{A}$  there is an explicit  $\mathcal{B}_{\text{ha}}$  with  $\text{Adv}^{\text{HEAD}}(\mathcal{A}) \leq \text{Adv}^{\text{euf-cma}}(\mathcal{B}_{\text{ha}})$ : a winning head's exact payload bytes were never queried, so its valid signature is an existential forgery, which  $\mathcal{B}_{\text{ha}}$  outputs.*

**Game FORK (fork evidence).** Define the context-scoped evidence predicate:  $\text{Ev}_{\chi}(h, h') = 1$  iff both signatures verify under  $pk$ , both heads encode the same  $\chi_{\text{enc}}$ , the tree sizes are equal, and the roots differ. Heads of different logs, schema versions, or hash algorithms never form evidence — one key legitimately operating two logs must not be classifiable as equivocating. *Completeness* is by construction: if the key holder signs two equal-size, unequal-root heads in one context, the pair itself satisfies  $\text{Ev}_{\chi}$ ; producing it requires retention and comparison, not cooperation. *Frame resistance* is the game: the challenger signs the operator's issued payloads in  $\chi_{\text{enc}}$  (query set  $Q$ ); the adversary, without the key, outputs  $(h, h')$  and wins iff  $\text{Ev}_{\chi}(h, h') = 1$  and at least one of the two payloads is not in  $Q$ . This is an *issued-message attribution* game: a valid evidence pair proves the key holder signed both conflicting payloads, except with forgery probability.

**Theorem 7** (Frame resistance). *For every  $\mathcal{A}$  there is an explicit  $\mathcal{B}_{\text{fr}}$  with  $\text{Adv}^{\text{FORK}}(\mathcal{A}) \leq \text{Adv}^{\text{euf-cma}}(\mathcal{B}_{\text{fr}})$ .*

*Proof.* A winning pair contains a head whose exact canonical payload bytes were never queried to the signing oracle; its valid signature is an existential forgery, which  $\mathcal{B}_{\text{fr}}$  outputs.  $\square$

**Lemma 5** (Policy separation — Proposition 2 restated for the scheme package). *For every leaf  $a$  and certificate  $c$ , the verdict computed by Verdict equals  $[\text{Obs}_a(c) = \text{Policy}(c)]$ ; it reads no operator label, and acceptance consults the operator's status only as a veto. This is a deterministic property of the Verdict algorithm, by construction (§3); it is not a hardness statement.*

**Definition 3** (Collision-extractable accountability). *A scheme with fixed context  $\chi$  is collision-extractably accountable if there are explicit algorithms, running in time polynomial in the transcript size, that map every winning PB or HIST output to two distinct strings with equal hash digests; explicit reductions bounding  $\text{Adv}^{\text{HEAD}}$  and  $\text{Adv}^{\text{FORK}}$  each by one EUF-CMA advantage; and a Verdict satisfying policy separation.*

**Theorem 8** (Collision-extractable accountability of the construction). *The LTL construction with the recursive verifiers of §5 — the RFC 9162 tree, the canonical signed heads of §4.2 in their fixed context  $\chi$ , the pin rule of §4.3, and the policy verdict of §3 — is collision-extractably accountable, with  $\mathcal{B}_{\text{pb}} (\leq 2(\lceil \log_2 n \rceil + 1)$  hash evaluations),  $\mathcal{B}_{\text{hist}} (O(k \log n_k))$ , and the one-forgery reductions  $\mathcal{B}_{\text{ha}}, \mathcal{B}_{\text{fr}}$  of Theorems 4–7.*

**Corollary 1** (Constructive security consequence). *For every explicitly given feasible adversary that wins PB or HIST, the explicitly specified  $\mathcal{B}_{\text{pb}}$  and  $\mathcal{B}_{\text{hist}}$  constitute an explicitly given SHA-256 collision finder, feasible with the explicit overhead stated in Theorems 4 and 5. For every explicitly given feasible HEAD or FORK adversary, the stated black-box reductions give an Ed25519 EUF-CMA forger with no loss in success probability, under correct initial acquisition of  $pk$  and the fixed context. Under the human-ignorance reading of collision resistance [21] — no feasible SHA-256 collision finder is presently known — this yields the intended security interpretation; that reading is an engineering judgment stated as such, not a mathematical assumption discharged by this corollary.*

**What the games do and do not formalize.** Against Definition 2: clause (i) is delivered as head authenticity (HEAD) plus opening *uniqueness* (PB) — no distinct leaf can also be opened at an accepted position. Whether a root moreover commits a complete published leaf list is a system property, not a game property: the log publishes its leaves, and a consumer holding the mirror checks membership against the actual list. In short, PB proves leaf-value binding; mirror recomputation proves equality to the published list. Clause (ii) splits into a syntactic part — the pin rule accepts only same-view or verified-extension transitions, by construction — and the semantic part supplied by HIST: a position opened in two accepted views cannot change value without a collision. Clause (iii) is FORK completeness and frame resistance, scoped to  $\chi$ . Clause (iv) is Lemma 5. The games adapt the established two-transcript secure-logging notions [4] to replay attestation — operator as first-class adversary, policy separation added.

*Remark 1* (What is mechanized, what is not). The games are stated for the scheme’s specified verifiers — the recursive model whose honest-reference specializations are kernel-checked in leaf 12 (the named extractors and per-step pin safety). The two-transcript comparisons and the transport induction are paper-level proofs in the same discipline — the induction reuses the corpus’s mechanized `kbelow_prefix_eq` fact — and are not part of the mechanized corpus; HIST supplies, at paper level, the multi-step closure the corpus leaves external. Applying any of these statements to the deployed iterative verifier inherits the refinement boundary of the previous subsection unchanged.

## 6 Lean instantiation: Ed25519 and SLH-DSA

### 6.1 Proof corpus

The initial subjects are upstream `curve25519-dalek/ed25519-dalek` (one implementation: the curve crate and the signature crate atop it) and three deployed forks: Solana/Anza, RISC Zero, and Betrusted — all implementations of Ed25519 [16, 17]. Aeneas provides a functional translation route from Rust to theorem-prover models; its design uses Rust ownership information to avoid explicit memory reasoning for a large class of safe Rust programs [11]. A recent independent experience report likewise applies a Rust-to-Lean pipeline to cryptographic code [22].

Each fork’s corpus contained sixteen reviewed certificates at the historical leaves studied here (the corpora have since grown to forty-four per fork — the log records both generations as separate leaves), covering:

- five-limb field arithmetic over  $\mathbb{F}_{2^{255}-19}$  with value and bound preservation;
- complete twisted-Edwards group operations [18, 19];
- scalar arithmetic modulo the Ed25519 group order;
- encoding, decoding, and constructive point decompression;
- a four-stage lifting ladder from byte-level verifier acceptance to a mathematical point equation.

The signature apex is organized as four tiers T1–T4 (Appendix D). Let  $c$  be the challenge scalar produced by an opaque SHA-512 boundary and let  $\bar{R}$  be the raw  $R$  bytes from the signature. The corpus separates:

**T1:** acceptance iff the verifier’s recomputed compressed bytes equal  $\bar{R}$ ;

**T2:** those recomputed bytes are the canonical encoding of  $[c](-A) + [s]B$ ;

**T3:** canonical encoding is injective on valid curve points;

**T4:** acceptance iff constructive decompression of  $R$  yields  $[c](-A) + [s]B$ .

The separation keeps residual assumptions visible. SHA-512 and selected wire-format interfaces are opaque boundaries at the apex; lower arithmetic and group certificates use the foundational Lean axioms observed in the corpus.

## 6.2 A second instantiation: the SLH-DSA verify path

The second campaign extracts the verification path of SLH-DSA (FIPS 205 [23], parameter set SHA2-128s) from a pinned pure-Rust implementation through the same Charon/Aeneas route, starting from one monomorphic entry point with the five hash primitives marked opaque at the extraction boundary. The corpus is eleven certificates: ten *loop-fidelity* theorems — each stating that an extracted loop computes the same value as a reference recursive fold — covering chain walking, WOTS recomputation and checksum, XMSS and FORS Merkle ascent, hypertree layering, and digit/byte plumbing (Appendix E lists each with its exact cone) and an acceptance characterization, `slh_verify_128s_accepts_iff`: for every message digest, signature, and public key at these parameters, the extracted verifier accepts exactly when the recomputed hypertree root byte-equals the public key’s root — no other acceptance path exists.

The terrain differs from Ed25519 in one structural way, and the leaf says so. For Ed25519, each theorem relates extracted code to an independent mathematical semantics (arithmetic over  $\mathbb{Z}/p\mathbb{Z}$ , formalized with no reference to the extracted code); SLH-DSA verification is hash chains and Merkle nodes all the way down, so its reference specifications are folds over the same five uninterpreted hash oracles (`h_msg`, `f`, `h`, `t_1`, `t_1len`, modeling the SHA-256 instantiations — uninterpreted function symbols in the logic, not random oracles) that the extracted loops call — there is no independent second semantics to land in. Each loop certificate therefore makes the extracted control flow *visible* — small, sequential, checkable against the standard’s algorithms — while the reading of fold against FIPS 205 remains a declared human step. The audit enforces every certificate’s axiom set exactly in both directions, and the cone *grows* up the pyramid — pure bit arithmetic rests on the kernel alone; the apex carries all five oracles (Appendix E). Scope, stated in the leaf: the proved subject is a monomorphic facade (the fixed-parameter entry point above) whose bridge to the deployed generic verifier is a 137-case differential test; one inner digit-extraction loop carries no certificate; signing and key generation were never extracted.

## 6.3 Replay attestation

For every certificate the operator records:

```
name
status
observed_axioms
expected_axioms          # audit trail; consumer policy is local
axiom_status
diagnostics
```

The attestation also records repository URL and commit, Lean and Lake versions, replay diagnostics, and resource controls. Missing cones are *unverifiable*; they are never interpreted as empty.

## 6.4 Operational self-reference

The service reports that tree heads are generated using a binary built from the same Ed25519 source family whose verification-path certificates appear in the log. Before signing, the operator recomputes inclusion of the newest signing-library leaf in the tree. This is useful operational

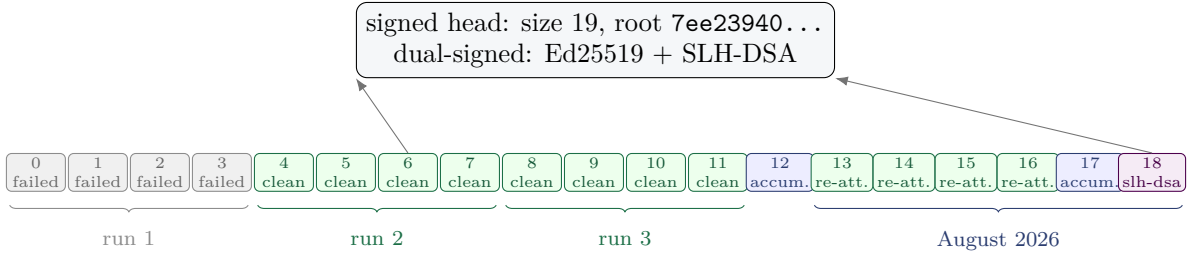


Figure 3: The public nineteen-leaf deployment. Failure leaves are retained; leaf 12 (the thirteenth entry) attests the accumulator corpus itself, scoped to the recursive model; leaves 13–16 re-attest the four forks at 44 certificates each; leaf 17 the hardened accumulator corpus; leaf 18 the SLH-DSA verify path. Heads are dual-signed from size 14 on.

coherence, but not proof of execution provenance. The signature authenticates the tree-head payload; it does not reveal the program that produced it. Reproducible builds or execution attestation would be required to establish that stronger claim.

## 7 Deployment and evaluation

The evaluation asks four questions: (E1) can substantial proof-replay evidence be consumed without deploying Lean; (E2) does the public history retain failed and superseded observations rather than silently replacing them; (E3) can the accumulator’s own arguments be placed under the same attestation discipline; and (E4) does mechanization expose mismatches between the proved model and the deployed verifier?

### 7.1 Public state

As of 15 August 2026, the public log contains nineteen leaves and current root

7ee239406890cf4ad59cc83ac3faa3d5cc48b29202159ee8c25bffd9737d32d8.

Every signed head issued since public mirroring began is retained — twelve heads, at tree sizes 8 through 19, dual-signed from size 14 on — together with every leaf and receipt, in an append-only Git mirror; a clone re-verifies the entire log offline with the repository’s standalone verifier. The first twelve leaves are three replay generations across the four Ed25519 codebases. Leaves 0–3 record a failed audit run and remain permanently visible. Leaves 4–7 record a clean replay. Leaves 8–11 re-attest rewritten repository histories rather than replacing the old leaves. Leaf 12 attests the accumulator’s own Lean corpus (§7, E3); leaves 13–16 re-attest the four Ed25519 corpora at 44 certificates each; leaf 17 re-attests the accumulator corpus at its hardened state (the same corpus after closure of external review findings); and leaf 18 attests the SLH-DSA-SHA2-128s verification path — the log’s first post-quantum subject, and the scheme that has co-signed every head since size 14. A leaf whose pinned commit ceases to be distributed decays from a replayable claim to a historical record; consumers act only on attestations whose subjects they can retrieve.

Leaf 12 (the thirteenth entry) attests the accumulator corpus at commit

172a1d0653f489d5b7cb73ac7942a57cbb496532

It records 61/61 reviewed certificates as proven with exact expected/observed cones. The corpus audit also inventories 222 compiled environment constants and permits exactly one boundary axiom, `LTLAcc.sha256`.

## 7.2 Mechanization coverage

Leaf 12 is not a claim that the whole service is formally verified. The Lean corpus covers the recursive Merkle model, inclusion completeness and collision-extracting soundness, the consistency extractor, and the Merkle-layer share of pin-store safety. The folklore whole-tree root-binding property (a root determines its committed leaf list up to SHA-256 collision) is mechanized through the specializations needed by the extractors rather than as one quantified hash-fold theorem. Signature unforgeability, execution provenance, the full signed-head state machine, asymptotic cost, and the refinement from the deployed iterative consistency verifier remain outside the corpus.

| Layer                     | Mechanized evidence                              | Explicit boundary                                                |
|---------------------------|--------------------------------------------------|------------------------------------------------------------------|
| Merkle definitions        | MTH, Root, Path, recursive ConsRec               | single SHA-256 boundary axiom                                    |
| Inclusion                 | completeness and named collision extractor       | collision resistance interpreted externally                      |
| Consistency               | recursive-model soundness and extractor          | no general consistency-completeness theorem                      |
| Pinning                   | per-step monotonicity and prefix correctness     | signature layer and multi-step closure external                  |
| Deployment refinement     | finite differential harness                      | no theorem for iterative verifier under authentic-pair invariant |
| Policy separation         | deterministic tooling logic and regression tests | not mechanized in the leaf-12 corpus                             |
| Scheme-level games (§5.4) | paper-level explicit reductions                  | two-transcript comparisons and prefix transport not mechanized   |

## 7.3 Cost and reproducibility

A replay of one Ed25519 fork requires approximately 30 minutes of end-to-end resource-guarded (memory- and time-capped) replay time under the pinned environment, a figure corroborated by the inter-leaf issuance spacing visible in the published log. Receipt verification requires one Ed25519 signature and a logarithmic number of SHA-256 node computations (the complete inclusion core is printed as Appendix C). The accumulator corpus is independently reviewable with a pinned public Lean release; an environment-derived inventory fails closed on added, removed, or axiom-smuggling declarations.

The fidelity harness compares the Lean-definition transliteration with the deployed Python algorithms over pinned finite families:

| Family                | Cases   | Divergences | Interpretation                     |
|-----------------------|---------|-------------|------------------------------------|
| Inclusion             | 230,271 | 0           | baseline and out-of-range families |
| Consistency baseline  | 230,016 | 0           | honest and mutation families       |
| Lied-size consistency | 73,573  | 3,867       | all deployed-accepts-only          |

Finite testing is not a proof of extensional equality. Here it served a more valuable purpose: it falsified an overbroad equivalence claim and supplied a stable regression boundary.

*Remark 2* (Model/deployment seam). For malformed size claims, the deployed iterative verifier and the recursive model are not extensionally equal (figures are the pre-closure measurement; the  $sn = 0$  restoration reduces the divergence count in this family to zero). In all 3,867 divergences observed across the pinned families the deployed verifier accepted and the model rejected; the reverse direction was not observed, and no global inclusion relation between the two acceptance sets is claimed. The public attestation therefore scopes soundness to the recursive model and states the additional operational assumption: roots and sizes must be

bound by the authenticated pin-store and signed-head flow. This invariant is not mechanized in the present corpus.

## 7.4 Consumer prototypes and version exactness

The implemented internal consumer is a quorum-custody signing prototype: its inbound boundary accepts a log-derived statement only when independently attested verifier backends agree, and its policy consumes recorded observations, never operator labels. Separately, an informal check found a production codebase whose vendored Ed25519 dependency matches an attested subject at family level but not at the attested version; the model treats a family-level match as conferring nothing, because attestations are version-exact by construction. Neither observation is an evaluation claim; both indicate how the policy boundary is consumed in practice.

## 7.5 Proof portability across forks

Pure mathematical lemmas are largely reusable, while extraction-facing scripts diverge where code structure and generated names diverge. In the deployed corpora, the RISC Zero and Betrusted signature-layer proof files differ by 27 changed lines (tracking one fork’s optimization barrier and the forks’ differing operation order), other extraction-facing files differ by tens to hundreds of lines, and pure carry and field lemmas remain byte-identical. This supports a practical conclusion: verification is portable above the representation boundary and target-specific where implementation structure actually differs.

# 8 Related work

**Transparency.** Certificate Transparency introduced publicly auditable append-only logs for certificate issuance [1, 2]; Crosby and Wallach built efficient tamper-evident history trees [3]; Dowling et al. formalized security notions for secure logging and CT [4] — the games of §5.4 adapt that two-transcript style to replay attestation, with the operator as first-class adversary and policy separation as a deterministic functionality. CONIKS applies transparency to key directories [7]. LTL reuses the authenticated data structure but changes the payload and trust semantics: a leaf is an observation of a proof replay, not an issuance event or key binding.

**Software supply-chain attestations.** In-toto expresses supply-chain steps and link metadata [6]. Sigstore combines ephemeral signing, identity, and transparency to reduce software-signing adoption barriers [5]. LTL is complementary: it concerns what a theorem prover reportedly accepted and which assumptions remained, not who built or signed a binary. A complete assurance chain should eventually combine both.

**Proof transport and verified cryptography.** Proof-carrying code ships a proof to a consumer-side checker [8]. LTL serves consumers that cannot deploy that checker and therefore accepts a different trust trade. HACl\*, EverCrypt, and Fiat-Crypto demonstrate verified cryptographic implementation pipelines [13, 14, 15]; Computer-aided frameworks such as EasyCrypt address scheme-level security proofs [10]; Aeneas targets functional verification of Rust through translation [11]. LTL does not compete with those systems: it distributes accountable statements about their replay.

**Verification of transparency protocols.** Cheval et al. mechanize transparency-protocol reasoning [9]. The leaf-12 corpus approaches the composition from the opposite direction: it mechanizes accumulator arguments and then logs that replay result. The remaining refinement from the deployed state machine to the recursive model is explicitly open.

## 9 Limitations and research agenda

The subject corpus maintains a numbered public file, **KNOWN-GAPS**, of fifteen gaps with their closure options (the scope block of Appendix B cites its items 14 and 15); this section groups the load-bearing ones.

**Operator observation trust.** A malicious operator can fabricate a replay report. Signatures and Merkle proofs make the lie attributable and persistent; they do not make it true. Targeted independent replay is the corrective mechanism.

**Replay-harness integrity.** A wrong observation needs no malice: a defective replay harness — a bug in the audit driver, a fail-open guard, a truncated transcript — produces the same evidentiary damage as a dishonest operator, with the same accountability answer (the record is attributable and persistent; independent replay corrects it). The subject corpus’s adversarial gate self-tests exist for exactly this reason and reduce, but cannot eliminate, the exposure.

**Theorem identity.** Names and repository commits are not canonical semantic identifiers, and commit identifiers are SHA-1-based — a weaker binding than the log’s own SHA-256 tree. A future schema should commit to elaborated theorem-type digests, axiom declaration-type digests, and an environment or replay-manifest digest.

**Source-to-binary gap.** The evidence concerns source models at pinned commits. Reproducible builds, compiler validation, binary measurement, and side-channel evidence are outside the present result.

**Witnessing and key distribution.** The deployment has one operator and trust-on-first-use key distribution. A Git mirror gives retaining observers a common public view, but does not force all isolated clients to receive that view. Independent witnesses or gossip are the natural next deployment step.

**Consistency refinement.** The recursive model is proved; the iterative deployment diverged from it on malformed inputs, every observed divergence being deployed-accepts-only. The strongest closure is either to deploy **ConsRec**-equivalent semantics or to mechanize the signed-head and pin-store flow and prove the authentic-pair refinement theorem.

**Signed provenance.** Signing-backend metadata is operator-provided context and should be committed inside the signed tree-head payload. Even then it would remain an assertion, not execution proof.

**From accountable replay to cryptographic proof of replay.** A longer-term direction is a succinct proof that a fixed proof-checker binary accepted a fixed corpus. Such a system could reduce operator-observation trust, but would introduce a new verified-execution stack. LTL supplies an intermediate accountability layer and a public corpus against which that future system can be evaluated.

## 10 Conclusion

Formal verification solves the production of correctness evidence; it does not by itself solve distribution to consumers that cannot execute the verifier. This paper isolates that second

problem and gives a cryptographic answer based on accountable replay attestation. The operator’s observation remains trusted, but its content is structured, its history is signed and append-only, its assumption boundary is subject to consumer-local policy, and incompatible views become attributable when compared.

The Lean Transparency Log demonstrates the complete construction. It amortizes expensive replay over lightweight consumers, retains failed and superseded observations, and carries a scoped attestation of the accumulator’s own Lean corpus as leaf 12. Just as importantly, the mechanization and differential harness exposed a mismatch between the recursive model and the deployed consistency verifier. Recording that mismatch in the public leaf is not a failure of the method; it is evidence that the trust decomposition is doing useful scientific work.

The next step is not to claim trustlessness. It is to close specific boundaries: canonical theorem-type commitments, reproducible source-to-binary linkage, independent witnesses, signed provenance commitments, and a proved refinement between the deployed signed-head flow and the recursive model. Accountable replay attestation provides an immediate infrastructure layer while those stronger validity mechanisms are developed.

## Artifact availability

The live service is <https://l1l.zkdefi.org>. Leaf 12 (the log’s thirteenth entry) has leaf hash

8cb258d657f1fd00baaa9e0091e26c316cb69b591cb249a9543f51cade57c50a

and is included in the size-13 head with root

3488a2d0ff9f00415bb561d61b01a420e3ca2e0f7b29351ec9ebb3f57319da0d

The public artifacts are available at:

- append-only mirror: [saymrwulf/lean-transparency-log](https://saymrwulf/lean-transparency-log);
- accumulator mechanization: [saymrwulf/l1l-accumulator-verified](https://saymrwulf/l1l-accumulator-verified);
- provider and consumer tooling: [saymrwulf/proof-aware-crypto-tooling-agent](https://saymrwulf/proof-aware-crypto-tooling-agent).

A clone of the mirror re-verifies every numbered leaf, every published signed head, and every published receipt offline via `python3 verify.py --all` (Python standard library plus an `openssl` binary; the verifier fails closed if signature checking is unavailable, and its adversarial self-test ships beside it).

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## A End-to-end claim matrix

| Consumer conclusion                                                                        | Established by                                                           | Remaining assumption                                                                                |
|--------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|
| Leaf has an authentic opening with a position-bound leaf value at index $m$ under head $h$ | inclusion proof and signed head                                          | SHA-256 collision resistance; correct public key; EUF-CMA of the head signature                     |
| Head root commits the published numbered leaf list                                         | full-mirror recomputation ( <code>verify.py --all</code> )               | mirror availability and retention                                                                   |
| Head was authorized by the log identity                                                    | Ed25519 verification                                                     | correct key acquisition; EUF-CMA                                                                    |
| New pinned head extends old pinned head                                                    | consistency proof                                                        | SHA-256 collision resistance; recursive-model soundness; authentic size/root pairing for deployment |
| Equal-size unequal roots in one log context conflict                                       | two valid signatures                                                     | correct public key; EUF-CMA; operationally, a retaining observer must compare the heads             |
| Observed cone matches local boundary policy                                                | exact set equality                                                       | semantic identity of named declarations                                                             |
| Operator claims the kernel produced the observation                                        | attestation signature and leaf inclusion                                 | correct provider key; EUF-CMA                                                                       |
| Kernel actually produced the recorded observation                                          | not cryptographically established; independently checkable by replay     | operator and replay-pipeline honesty, or faithful independent replay                                |
| Recorded cone was produced by an audit that performed its checks                           | not established — the audit driver is itself part of the replay pipeline | audit-gate integrity; adversarial gate self-tests reduce this exposure, they do not eliminate it    |
| Source corresponds to deployed binary                                                      | not established                                                          | reproducible build and compiler assurance                                                           |
| Claimed signer implementation produced STH                                                 | not established                                                          | execution provenance                                                                                |

## B Deployed leaf-12 scope

Leaf 12 contains the following deployment constraint, quoted verbatim, in its machine-readable scope block:

Attestation scope: this corpus kernel-checks the listed theorems about the mechanized recursive accumulator model. Correspondence with the deployed inclusion verifier is supported by finite differential testing over the pinned families. The deployed consistency verifier is not extensionally equal to the model; applying the mechanized soundness result to the deployed consumer flow additionally relies on an unmechanized authentic-size/root invariant (KNOWN-GAPS 14/15).

Its exclusions name SHA-256 collision resistance, deployed-verifier extensional equality, the signature/STH layer, and asymptotic cost claims.

## C Compact receipt-verification core

The following code is only the Merkle inclusion core. A complete receipt verifier must additionally validate the signed tree head, log identifier, tree-size binding, public-key fingerprint

or pinned key, leaf hash, and receipt schema. The published log-repository verifier implements that full binding list for every published receipt — the binding fields are required, never compare-if-present — and fails closed when signature checking is unavailable.

```
import hashlib

def H(data):
    return hashlib.sha256(data).digest()

def h_leaf(data):
    return H(b"\x00" + data)

def h_node(left, right):
    return H(b"\x01" + left + right)

def split_below(n):
    return 1 << ((n - 1).bit_length() - 1)

def take(path, used):
    if used >= len(path):
        raise ValueError("proof exhausted")
    return path[used]

def root(value, index, size, path, used=0):
    if size == 1:
        return value, used
    k = split_below(size)
    if index < k:
        left, used = root(value, index, k, path, used)
        return h_node(left, take(path, used)), used + 1
    right, used = root(value, index-k, size-k, path, used)
    return h_node(take(path, used), right), used + 1

def verify_inclusion(leaf, index, size, path, expected_root):
    if size <= 0 or index < 0 or index >= size:
        return False
    try:
        result, used = root(h_leaf(leaf), index, size, path)
    except ValueError:
        return False
    return used == len(path) and result == expected_root
```

## D Four Ed25519 verification tiers

| Tier | Meaning                         | Upstream Lean declaration                  |
|------|---------------------------------|--------------------------------------------|
| T1   | byte-level acceptance equation  | <code>verify_accepts_iff</code>            |
| T2   | canonical encoding lift         | <code>verify_accepts_iff_point</code>      |
| T3   | injectivity / point equation    | <code>verify_accepts_iff_point_eq</code>   |
| T4   | constructive decompression lift | <code>verify_accepts_iff_decompress</code> |

All four tiers share one opaque boundary — the SHA-512 challenge hash and the selected wire-format interfaces (§6); the arithmetic and group certificates beneath them rest on Lean’s foundational axioms alone.

## E SLH-DSA verification certificates and their cones

Eleven certificates over the extracted SLH-DSA-SHA2-128s verify path (leaf 18). Beyond Lean’s three foundational axioms, each certificate’s exact axiom set consists of the uninterpreted hash oracles listed — enforced by the audit as set equality in both directions, so the table is machine-checked, not documentation. The cone grows with the layer: pure digit/byte arithmetic rests on the kernel alone; the apex carries all five oracles.

| Layer                       | Lean declaration(s)                                                                                                              | Oracles in the cone                                |
|-----------------------------|----------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|
| Digit/byte plumbing         | <code>to_int_loop_eq</code> , <code>to_byte_loop_eq</code><br><code>wots_csum_loop_eq</code> , <code>base2b_outer_loop_eq</code> | —                                                  |
| Chain walk                  | <code>chain_free_loop_eq</code>                                                                                                  | <code>f</code>                                     |
| WOTS pk recomputation       | <code>wots_loop1_eq</code>                                                                                                       | <code>f</code>                                     |
| XMSS Merkle ascent          | <code>xmss_loop_eq</code>                                                                                                        | <code>h</code>                                     |
| FORS inner ascent           | <code>fors_inner_loop_eq</code>                                                                                                  | <code>h</code>                                     |
| FORS outer loop             | <code>fors_outer_loop_eq</code>                                                                                                  | <code>f</code> , <code>h</code>                    |
| Hypertree walk              | <code>ht_loop_eq</code>                                                                                                          | <code>f</code> , <code>h</code> , <code>t_1</code> |
| Acceptance characterization | <code>slh_verify_128s_accepts_iff</code>                                                                                         | all five                                           |

The oracles model the parameter set’s SHA-256 hash-suite instantiations: `h_msg` (message digest), `f` (chain step and FORS leaf), `h` (Merkle node), `t_1` and `t_len` (the WOTS and FORS compressors — two axioms over what is one Rust primitive, deliberately conservative, with the source’s naming inversion against the standard’s  $T_\ell/T_k$  documented at the declarations). The acceptance characterization is proved directly from the verifier’s structure, not by composing the ten loop theorems — it would remain provable if any of the ten were deleted. Conversely, each loop certificate carries assurance only insofar as a human has checked its reference fold against the corresponding FIPS 205 algorithm.